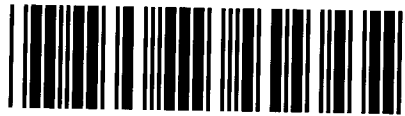
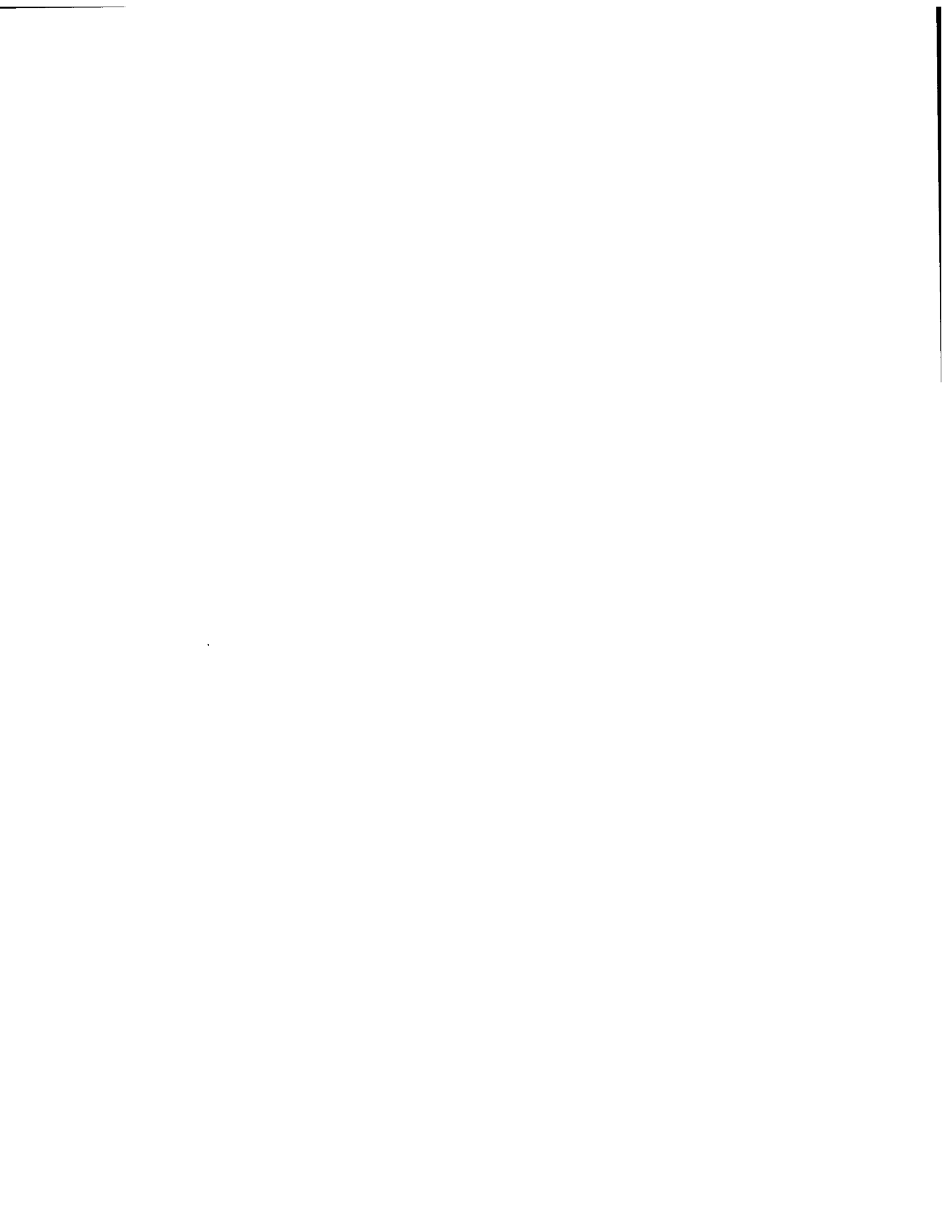


Control Number: 44351



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Addendum StartPage: 0



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Pursuant to PUC SUBSTANTIVE RULE § 25.109

# Registration Form for Power Generation Companies and Self-Generators

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## Part A – Type of Registration

### 1. Type of registration

Check only one of the following.

- New self-generator registration
- New power generation company registration
- New EWG/PGC
- Amending self-generator registration
- Amending PGC registration

### 2. Amendments

If filing an amendment, check all applicable boxes and fill in only the sections of this form that are applicable to your amendment:

- Name change amendment
- Change in ownership/control
- Registration relinquishment
- Facility/output capacity change
- New generating plant/facility
- Other

Registration number:

Provide an explanation, if necessary:

## Part B - Applicable to Registration of Self-Generators

### 1. Registering Party

Legal name: \_\_\_\_\_

Texas business address: \_\_\_\_\_

City:	ZIP:	Principal place of business:
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### 2. Contact Information (for all communications)

Name:	Title:	
Address:		
City:	State:	ZIP:
Email:	Phone:	Fax:

**Part C - Applicable to Registration of Power Generation Companies**

**1. Registering Party**

<b>Legal name:</b> RE Roserock LLC	<b>Trade/commercial name:</b> RE Roserock LLC	
<b>Texas business address:</b> 293 Mendel Road		
<b>City:</b> Fort Stockton	<b>ZIP:</b> 79735	<b>Principal place of business:</b> San Francisco, CA

**2. Contact Information (for all communications)**

<b>Name:</b> Mitchell Randall	<b>Title:</b> General Counsel	
<b>Address:</b> 300 California Street, 7th Floor		
<b>City:</b> San Francisco	<b>State:</b> CA	<b>ZIP:</b> 94104
<b>Email:</b> legal@recurrentenergy.com	<b>Phone:</b> 415-675-1500	<b>Fax:</b>

**3. Names and types of business of the registering party's corporate parent companies with percentages of ownership**

<b>Name (press Enter Key for multiple entries)</b>	<b>Types of business</b>	<b>% of ownership</b>
Recurrent Energy Development Holdings, LLC	Energy	100%

**4. Description of the types of services provided by the registering party that pertain to the generation of electricity**

Owning and operating the Facility and selling electric energy at wholesale.

**5. Name and corporate relationship of each affiliate that buys and sells electricity at wholesale in Texas, sells electricity at retail in Texas, or is an electric or municipally owned utility in Texas**

<b>Name (press Enter Key for multiple entries)</b>	<b>Corporate relationship</b>
none.	

**6. If applicable, attach to this form any Supplemental Information, as described in the Instructions, labeled "Attachment B8"**

**Part D – Applicable to all Registering Parties**

**AFFIDAVIT**

*(Must be notarized by a public notary in and for the State of Texas)  
(see Attached California Jurat)*

STATE OF TEXAS           §  
COUNTY OF               §

BEFORE ME, the undersigned authority, on this day personally appeared the undersigned, who, after being duly sworn, stated on his or her oath that he or she is entitled to make this Affidavit, and that the statements contained below and in the foregoing are true and correct.

Check one of the following boxes:

- I am an authorized representative of the registering party, which is a **self-generator**.
- I am an authorized representative of the registering party, which is a **power generation company** and swear that the company:
  - (A) Generates electricity that is intended to be sold at wholesale;
  - (B) Does not own a transmission or distribution facility in this state other than an essential interconnecting facility, a facility not dedicated to public use, or a facility otherwise excluded from the definition of "electric utility" under PUC SUBSTANTIVE RULE 25.5; and
  - (C) Does not have a certificated service area.

I swear or affirm that I have personal knowledge of the facts stated in the attached registration, that I am competent to testify to them, and that I have the authority to submit this registration form on behalf of the registering party. I further swear or affirm that all statements made in the registration form are true, correct and complete and that any substantial changes in such information will be provided to the Public Utility Commission of Texas in a timely manner. I swear or affirm that the registering party understands and will comply with all requirements of the applicable law and rules.

Brian J Biering  
Signature of Authorized Representative  
Brian J Biering  
Printed Name

RE Roserock LLC  
Name of Registering Party

Sworn and subscribed before me this 20<sup>th</sup> day of Jan, 2015.  
Month      Year

\_\_\_\_\_  
Notary Public in and for the State of ~~Texas~~  
California.

**CALIFORNIA JURAT WITH AFFIANT STATEMENT**

**GOVERNMENT CODE § 8202**

- See Attached Document (Notary to cross out lines 1-6 below)
- See Statement Below (Lines 1-6 to be completed only by document signer[s], *not* Notary)

/

/

/

/

/

Brian S. Biering  
Signature of Document Signer No. 1

\_\_\_\_\_  
Signature of Document Signer No. 2 (if any)

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California  
County of Sacramento

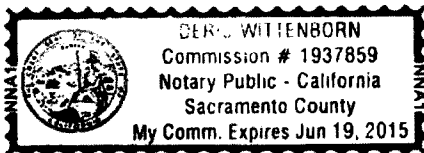
Subscribed and sworn to (or affirmed) before me  
on this 20<sup>th</sup> day of January, 2015.  
by \_\_\_\_\_  
Date Month Year

(1) Brian S. Biering

(and 2 \_\_\_\_\_),  
Name(s) of Signer(s)

proved to me on the basis of satisfactory evidence  
to be the person(s) who appeared before me.

Signature [Signature]  
Signature of Notary Public



Seal  
Place Notary Seal Above

**OPTIONAL**

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

**Description of Attached Document**

Title or Type of Document: \_\_\_\_\_ Document Date: \_\_\_\_\_

Number of Pages: \_\_\_\_\_ Signer(s) Other Than Named Above: \_\_\_\_\_

**Part E – Applicable to all Registering Parties**

Provide information for each generating facility. If more room is needed to list all generating facilities, attach additional copies of Part E.

Generating facility name	Electric storage facility	Physical address of facility	County of facility	Interconnecting TSP	Power region	Total capacity (MW)	Type of generation*
RE Roserock LLC	<input type="checkbox"/>	293 Mendel Road Fort Stockton, TX 79735	Pecos	AEP	ERCOT	150	Solar PV
	<input type="checkbox"/>						
	<input type="checkbox"/>						
	<input type="checkbox"/>						
	<input type="checkbox"/>						
	<input type="checkbox"/>						
	<input type="checkbox"/>						
	<input type="checkbox"/>						
	<input type="checkbox"/>						
	<input type="checkbox"/>						
	<input type="checkbox"/>						

\*i.e., biomass, wind, geothermal, fossil fuels, solar, hydro, nuclear, landfill gas

**ATTACHMENT B8:**  
**SUPPLEMENTAL INFORMATION TO SECTION C6 OF**  
***RE ROSE ROCK LLC'S* REGISTRATION FORM FOR**  
**POWER GENERATION COMPANIES**



**UNITED STATES OF AMERICA  
BEFORE THE  
FEDERAL ENERGY REGULATORY COMMISSION**

RE Roserock LLC

)  
)  
)

Docket No. EG15- -000

**RE ROSEROCK LLC  
NOTICE OF SELF-CERTIFICATION OF  
EXEMPT WHOLESALE GENERATOR STATUS**

Pursuant to the Public Utility Holding Company Act of 2005 (“PUHCA 2005”)<sup>1</sup> and Section 366.7 of the Federal Energy Regulatory Commission’s (“Commission”) regulations<sup>2</sup>, RE Roserock LLC (“Roserock”) hereby submits this Notice of Self-Certification of Exempt Wholesale Generator (“EWG”) Status (“Notice”) with respect to its ownership and operation of an approximately 150 MW solar photovoltaic generation facility and related assets located in Pecos County, Texas (“Facility”), which is within the Electric Reliability Council of Texas (“ERCOT”) balancing authority area.

**I. COMMUNICATIONS**

All correspondence and communications regarding this proceeding should be addressed and directed to the following persons:

Mitchell Randall\*  
General Counsel  
Recurrent Energy, LLC  
300 California Street, 7<sup>th</sup> Floor  
San Francisco, CA 94104  
Phone: (415) 675-1500  
legal@recurrentenergy.com

Brian Biering\*  
Ellison, Schneider & Harris L.L.P.  
2600 Capitol Avenue, Suite 400  
Sacramento, CA 95816  
Phone: (916) 447-2166  
Fax: (916) 447-3512  
bsb@eslawfirm.com

(\* ) Persons denoted with an asterisk are designated for service and should be included on the

<sup>1</sup> Pub. L. No. 109-58, §§ 1261-1277, 119 Stat. 594, 972-78 (2005).

<sup>2</sup> 18 C.F.R. § 366.7

official service list in this proceeding. Roserock requests waiver of Rule 203(b)(3)<sup>3</sup> so that a copy of any communications in the proceeding referenced above may be served on all persons listed above.

## **II. DESCRIPTION OF ROSEROCK**

Roserock is a Delaware limited liability company that owns and operates the Facility. Roserock anticipates that the Facility will commence commercial operation by July 2016. The Facility will be interconnected to the distribution system owned by the American Electric Power (“AEP”) and all of the output from the Facility will be sold exclusively at wholesale pursuant to a 20-year power purchase agreement with Austin Energy.

Roserock is wholly owned by Recurrent Energy Development Holdings, LLC, whose membership interests are, in turn, wholly owned by Recurrent Energy, LLC (“Recurrent”), all of which are Delaware limited liability companies. Recurrent’s principal place of business is in San Francisco, California.

## **III. REPRESENTATIONS**

Pursuant to Sections 366.1 and 366.7 of the Commission’s regulations,<sup>4</sup> Roserock represents that it satisfies the requirements for EWG status, as follows:

1. Roserock is a Delaware limited liability company that will own and operate the Facility.
2. Roserock engages exclusively in the business of owning and operating the Facility and selling electric energy at wholesale.
3. Roserock will not make foreign retail sales of electric energy from the Facility.

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<sup>3</sup> 18 C.F.R. § 385.203(b)(3) (2013).

<sup>4</sup> 18 C.F.R. §§ 366.1, 366.7.

4. The Facility is an eligible facility as defined in Section 32(a)(2) of the Public Utility Holding Company Act of 1935, which is incorporated by reference in Section 1262(6) of PUHCA 2005,<sup>5</sup> and Section 366.1 of the Commission's regulations.

5. The electricity produced by the Facility will be sold exclusively at wholesale.

6. The Facility consists of a 150MW solar photovoltaic generating facility, and will use a substation, switchyard, and other equipment necessary to interconnect the Facility to the transmission grid.

7. The interconnection facilities that are associated with the Facility serve only to connect the project to AEP's distribution system for purposes of delivering energy and capacity to the ERCOT controlled grid. Those facilities, therefore, qualify as "interconnecting transmission facilities necessary to effect a sale of electric energy at wholesale" and are included as part of Roserock's eligible facility.<sup>6</sup>

8. No portion of the Facility is owned or operated by an electric utility company that is an affiliate or an associate company of Roserock (other than an electric utility company that is an EWG),<sup>7</sup> as those terms are defined in Section 366.1 of the Commission's regulations.

9. No rate or charge for, or in connection with, the construction of the Facility, or for the electric energy produced by the Facility, was in effect under the laws of any state on October 24, 1992. As such, no determination or certification by any state commission is necessary prior to certification of Roserock as an EWG.

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<sup>5</sup> 42 U.S.C. § 16451(6) (2006).

<sup>6</sup> 15 U.S.C. § 79z-5a(a)(2) (repealed).

<sup>7</sup> The Commission has determined that partial ownership of interconnection facilities by multiple EWGs does not affect their respective EWG status. *See, e.g., Buffalo Gap Wind Farm 2, LLC*, 118 FERC ¶ 61,069 (2007); *Sagebrush, et al.*, 103 FERC ¶ 61,332 (2003).

10. Concurrently with the filing of this Notice with the Commission, Roserock is filing a copy of this Notice with the Public Utility Commission of Texas, which is the state regulatory authority of the state in which the Facility will be located.

**IV. CONCLUSION**

Based on the foregoing facts and representations, Roserock requests that the Commission accept this notice of self-certification and grant Roserock status as an EWG.

Respectfully submitted,

/s/ Brian Biering  
Brian Biering  
Ellison, Schneider & Harris L.L.P.  
2600 Capitol Avenue, Suite 400  
Sacramento, CA 95816

*Counsel for RE Roserock LLC*

January 20, 2015